SEC FORM 4 SEC Form 4

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
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1. Name and Address of Reporting Person <sup>*</sup> Brody Paul Jonathan			2. Issuer Name <b>and</b> Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
	nathan			X Director 10% Owner Control Officer (give title Other (specify
(Last) ONE PICKWICK	st) (First) (Middle) NE PICKWICK PLAZA		3. Date of Earliest Transaction (Month/Day/Year) 11/17/2020	A below) below) Chief Financial Officer
(Street) GREENWICH	СТ	06830	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person
(City)	(State)	(Zip)		Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr.	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	3 and 4)		(1150.4)
Class A common stock	11/17/2020		S		1,274(1)	D	\$54.79 (4)	232,368 <sup>(2)</sup>	I	by PJB Holdings LLC
Class A common stock	11/17/2020		S		1,284(1)	D	\$55.33 (5)	231,084 <sup>(2)</sup>	I	by PJB Holdings LLC
Class A common stock	11/18/2020		S		1,270(1)	D	\$53.23 (6)	229,814 <sup>(2)</sup>	I	by PJB Holdings LLC
Class A common stock	11/18/2020		S		950 <sup>(1)</sup>	D	\$54.35	228,864 <sup>(2)</sup>	I	by PJB Holdings LLC
Class A common stock	11/18/2020		S		267(1)	D	\$55.01 <sup>(8)</sup>	228,597 <sup>(2)</sup>	I	by PJB Holdings LLC
Class A common stock								651,568 <sup>(3)</sup>	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (In		5. Numb Derivativ Securitie Acquired Dispose (Instr. 3, 5)	re Expiration Date es (Month/Day/Year) d (A) or d of (D)		(Instr. 3 and 4)		Derivative Security (Instr. 5)	of derivative Securities Beneficially	Ownership Form: I Direct (D) ( or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date		Amount or Number of Shares		Transaction (s) (Instr. 4)		

#### Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by PJB Holdings LLC, which is owned indirectly by the Reporting Person.

2. Represents number of securities owned by PJB Holdings LLC, which is owned indirectly by the Reporting Person.

3. This amount includes (a) Class A common stock attributable to vested restricted stock units that were awarded under the amended 2007 Stock Incentive Plan ("Plan") and (b) unvested restricted stock units that were awarded under the Plan.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.19 to \$55.18. The Reporting Person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) - (8) to this Form 4.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.19 to \$55.59.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.68 to \$53.67.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.68 to \$54.67.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.68 to \$55.43.

/s/ Raymond Bussiere as authorized 11/19/2020

 signatory for Paul J. Brody
 11/19/2

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.