SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

······································				2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Brody Paul Jonathan				Interactive Brokers Group, Inc. [IBKR]						1	Director		10% Owner		
(Last) (First) (Middle) ONE PICKWICK PLAZA				3. Date of Earliest Transaction (Month/Day/Year) 11/09/2020							X Officer (give title Other (specify below) below) Chief Financial Officer				
(Street) GREENWICH CT 06830				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)									,				
		Table	I - Non-Deriv	ative Secur	ities Acqui	red, Di	pos	ed of, or	Benefic	ially Own	ed				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year	2A. Deemed Execution Da if any (Month/Day/Y	Cod	e, Transaction Code (Instr.		4. Securities Acquired (Disposed Of (D) (Instr. 3		3, 4 and 5) Securities Beneficially Owner Following Reporte		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Cod	v	Amount	(A) or (D)	Price	Transaction(s (Instr. 3 and 4			(Instr. 4)	
Class A common sto	ock			11/09/2020		S		1,620) ⁽¹⁾ D	\$49.8(4)	246,54	4 ⁽²⁾	Ι	by PJB Holdings LLC	
Class A common sto	ock			11/09/2020		s		370	⁽¹⁾ D	\$50.61 (5)	246,174	4 ⁽²⁾	Ι	by PJB Holdings LLC	
Class A common sto	ock			11/09/2020		s		115	⁽¹⁾ D	\$51.28 (6)	246,05	9(2)	Ι	by PJB Holdings LLC	
Class A common stock				11/10/2020		s		878	⁽¹⁾ D	\$49.55 (7)	245,181(2)		Ι	by PJB Holdings LLC	
Class A common stock				11/10/2020		s		1,479	D ⁽¹⁾ D	\$50.37 ⁽⁸⁾	243,702 ⁽²⁾		Ι	by PJB Holdings LLC	
Class A common stock											651,56	8 ⁽³⁾	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3) 2. 3. Transaction Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year)			4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) of Disposed of (D) (Instr. 3, 4 and 5)	6. Date Ex Expiration	Date Exercisable and 7. Title ar piration Date Securities			ount of	8. Price of Derivative Security (Instr. 5)	9. Numbo of derivativ Securitie Beneficia Owned Followin Reported	g d	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by PJB Holdings LLC, which is owned indirectly by the Reporting Person.

Code

(A)

(D)

2. Represents number of securities owned by PJB Holdings LLC, which is owned indirectly by the Reporting Person

3. This amount includes (a) Class A common stock attributable to vested restricted stock units that were awarded under the amended 2007 Stock Incentive Plan ("Plan") and (b) unvested restricted stock units that were awarded under the Plan.

Date Exercisable

Expiration Date

Title

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.11 to \$50.10. The Reporting Person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) -(8) to this Form 4.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.11 to \$51.10.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.11 to \$51.39.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.01 to \$50.00.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.01 to \$50.96.

/s/ Raymond Bussiere as authorized signatory for Paul J. 11/12/2020 Brody Date

Number Shares

r of

(s) (Instr. 4)

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.