## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL |
| :--- | ---: |
| OMB Number: <br> Estimated average burden <br> hours per response: |


| 1. Name and Address of Reporting Person ${ }^{*}$ Galik Milan | 2. Issuer Name and Ticker or Trading Symbol Interactive Brokers Group, Inc. [ IBKR ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <br> X Director 10\% Owner |
| :---: | :---: | :---: |
| (Last) (First) ONE PICKWICK PLAZA | 3. Date of Earliest Transaction (Month/Day/Year) $12 / 31 / 2018$ | X Officer (give title <br> below) <br>  PresidentOther (specify <br> below) |
| (Street) <br> GREENWICH CT 06830 <br> (City) (State) (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) <br> X Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any <br> (Month/Day/Year) | 3. <br> Transaction Code (Instr. <br> 8) |  | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |  |  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct <br> (D) or Indirect <br> (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Code | V | Amount | (A) or (D) | Price |  |  |  |
| Class A common stock | 12/31/2018 |  | A |  | 94,782 ${ }^{(1)}$ | A | \$ $0^{(1)}$ | 955,775 ${ }^{(2)}$ | D |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of <br> Derivative Security (Instr. 3) (Instr. 3 | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date <br> (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. <br> Transaction Code (Instr. <br> 8) |  | 5. Number of <br> Derivative <br> Securities <br> Acquired <br> (A) or <br> Disposed <br> of (D) <br> (Instr. 3, 4 <br> and 5) |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | 8. Price of Derivative Security (Instr. 5) (Instr. 5 | 9. Number of derivative <br> Securities <br> Beneficially Owned <br> Following <br> Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Explanation of Responses:

1. This represents a grant of restricted stock units under the 2007 Stock Incentive Plan as amended ("Plan") that will vest $10 \%$ on 5/9/2019 and $15 \%$ on each of the first six anniversaries of 5/9/2019.
2. This amount includes (a) Class A common stock attributable to vested restricted stock units that were awarded under the Plan and (b) unvested restricted stock units that were awarded under the Plan.

| /s/ Raymond Bussiere as |  |
| :---: | :---: |
| authorized signatory for Milan | 01/03/2019 |
| Galik |  |
| ** Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. $78 f f(a)$.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

