

**WINJAMMER FILING**

**INITIAL**

**End Date:4/23/2020**

**Firm Name:INTERACTIVE BROKERS LLC**

**Form:Daily Seg - FOCUS II**

**Submit Date:4/24/2020**

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**Daily Segregation - Cover Page**

Name of Company

**INTERACTIVE BROKERS LLC**

Contact Name

**James Menicucci**

Contact Phone Number

**203-618-8085**

Contact Email Address

**jmenicucci@interactivebrokers.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**0**  
**0**  
**155,000,000 245,000,000**  
**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**0**  
**0**  
**80,000,000 120,000,000**  
**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**0**  
**0**  
**0 0**  
**0 0**

Attach supporting documents

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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

|    |   |                              |
|----|---|------------------------------|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | 0 [7305]                     |
|    | A. Cash   | <b>572,846,028</b> [7315]    |
|    | B. Securities (at market)   | 0 [7317]                     |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <b>-485,984</b> [7325]       |
| 3. | Exchange traded options   |                              |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <b>89,445</b> [7335]         |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <b>-229,654</b> [7337]       |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)   | <b>572,219,835</b> [7345]    |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <b>3,784</b> [7351]          |
|    | Less: amount offset by customer owned securities  | 0 [7352] <b>3,784</b> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <b>572,223,619</b> [7355]    |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <b>572,223,619</b> [7360]    |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

|     |   |  |
|-----|---|--|
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <b>198,476,258</b> [7500]                        |
|     | B. Other banks qualified under Regulation 30.7  | 0 [7520] <b>198,476,258</b> [7530]               |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <b>379,908,200</b> [7540]                        |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | 0 [7560] <b>379,908,200</b> [7570]               |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | 0 [7580]   |
|     | B. Securities   | 0 [7590]   |
|     | C. Unrealized gain (loss) on open futures contracts   | 0 [7600]   |
|     | D. Value of long option contracts   | 0 [7610]   |
|     | E. Value of short option contracts  | 0 [7615] 0 [7620]                                |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |  |
|     | A. Cash   | 0 [7640]   |
|     | B. Securities   | 0 [7650]   |
|     | C. Amount due to (from) clearing organization - daily variation   | 0 [7660]   |
|     | D. Value of long option contracts   | 0 [7670]   |
|     | E. Value of short option contracts  | 0 [7675] 0 [7680]                                |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <b>114,681,792</b> [7700]                        |
|     | B. Securities   | 0 [7710]   |
|     | C. Unrealized gain (loss) on open futures contracts   | <b>-126,170</b> [7720]                           |
|     | D. Value of long option contracts   | <b>89,445</b> [7730]                             |
|     | E. Value of short option contracts  | <b>-229,654</b> [7735] <b>114,415,413</b> [7740] |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | 0 [7760]   |
| 7.  | Segregated funds on hand  | 0 [7765]   |
| 8.  | Total funds in separate section 30.7 accounts   | <b>692,799,871</b> [7770]                        |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <b>120,576,252</b> [7380]                        |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <b>80,000,000</b> [7780]                         |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <b>40,576,252</b> [7785]                         |

**INITIAL****End Date:4/23/2020****Firm Name:INTERACTIVE BROKERS LLC****Form:Daily Seg - FOCUS II****Submit Date:4/24/2020****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance  |  |
|     | A. Cash   | <b><u>6,191,708,228</u></b> [7010]                     |
|     | B. Securities (at market)   | <b><u>0</u></b> [7020]                                 |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>-47,145,776</u></b> [7030]                       |
| 3.  | Exchange traded options   |  |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>421,972,843</u></b> [7032]                       |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-411,346,928</u></b> [7033]                      |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <b><u>6,155,188,367</u></b> [7040]                     |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>80,943,860</u></b> [7045]                        |
|     | Less: amount offset by customer securities  | <b><u>0</u></b> [7047] <b><u>80,943,860</u></b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <b><u>6,236,132,227</u></b> [7060]                     |
|     | FUNDS IN SEGREGATED ACCOUNTS  |  |
| 7.  | Deposited in segregated funds bank accounts   |  |
|     | A. Cash   | <b><u>2,821,079,966</u></b> [7070]                     |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>1,804,410,455</u></b> [7080]                     |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7090]                                 |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |  |
|     | A. Cash   | <b><u>513,996,418</u></b> [7100]                       |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>1,291,650,464</u></b> [7110]                     |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7120]                                 |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>46,309,364</u></b> [7130]                        |
| 10. | Exchange traded options   |  |
|     | A. Value of open long option contracts  | <b><u>421,337,261</u></b> [7132]                       |
|     | B. Value of open short option contracts   | <b><u>-410,746,642</u></b> [7133]                      |
| 11. | Net equities with other FCMs  |  |
|     | A. Net liquidating equity   | <b><u>0</u></b> [7140]                                 |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                                 |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7170]                                 |
| 12. | Segregated funds on hand  | <b><u>0</u></b> [7150]                                 |
| 13. | Total amount in segregation (add lines 7 through 12)  | <b><u>6,488,037,286</u></b> [7180]                     |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>251,905,059</u></b> [7190]                       |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>155,000,000</u></b> [7194]                       |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>96,905,059</u></b> [7198]                        |
|     | Excess  |  |

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|   |  |
|---|--|
| Cleared Swaps Customer Requirements                 |  |
| 1.  | Net ledger balance   |
|   | A. Cash <span style="float:right"><u>0</u> [8500]</span>   |
|   | B. Securities (at market) <span style="float:right"><u>0</u> [8510]</span>   |
| 2.  | Net unrealized profit (loss) in open cleared swaps <span style="float:right"><u>0</u> [8520]</span>  |
| 3.  | Cleared swaps options  |
|   | A. Market value of open cleared swaps option contracts purchased <span style="float:right"><u>0</u> [8530]</span>                                |
|   | B. Market value of open cleared swaps option contracts granted (sold) <span style="float:right"><u>0</u> [8540]</span>                           |
| 4.  | Net Equity (deficit) (add lines 1, 2, and 3) <span style="float:right"><u>0</u> [8550]</span>  |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <span style="float:right"><u>0</u> [8560]</span>               |
|   | Less: amount offset by customer owned securities <span style="float:right"><u>0</u> [8570] <u>0</u> [8580]</span>                                |
| 6.  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <span style="float:right"><u>0</u> [8590]</span>                |
| Funds in Cleared Swaps Customer Segregated Accounts |  |
| 7.  | Deposited in cleared swaps customer segregated accounts at banks   |
|   | A. Cash <span style="float:right"><u>0</u> [8600]</span>   |
|   | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8610]</span>            |
|   | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8620]</span>           |
| 8.  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts   |
|   | A. Cash <span style="float:right"><u>0</u> [8630]</span>   |
|   | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8640]</span>            |
|   | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8650]</span>           |
| 9.  | Net settlement from (to) derivatives clearing organizations <span style="float:right"><u>0</u> [8660]</span>                                     |
| 10.   | Cleared swaps options  |
|   | A. Value of open cleared swaps long option contracts <span style="float:right"><u>0</u> [8670]</span>  |
|   | B. Value of open cleared swaps short option contracts <span style="float:right"><u>0</u> [8680]</span>   |
| 11.   | Net equities with other FCMs   |
|   | A. Net liquidating equity <span style="float:right"><u>0</u> [8690]</span>   |
|   | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8700]</span>            |
|   | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8710]</span>           |
| 12.   | Cleared swaps customer funds on hand   |
|   | A. Cash <span style="float:right"><u>0</u></span>  |
|   | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u></span>                   |
|   | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> <u>0</u> [8715]</span>  |
| 13.   | Total amount in cleared swaps customer segregation (add lines 7 through 12) <span style="float:right"><u>0</u> [8720]</span>                     |
| 14.   | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <span style="float:right"><u>0</u> [8730]</span>  |
| 15.   | Management Target Amount for Excess funds in cleared swaps segregated accounts <span style="float:right"><u>0</u> [8760]</span>                  |
| 16.   | Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <span style="float:right"><u>0</u> [8770]</span> |